

Shropshire Council
Legal and Democratic Services
Shirehall
Abbey Foregate
Shrewsbury
SY2 6ND

Date: 6 February 2023
My Ref:
Your Ref:

**Committee:
Audit Committee**

Date: Tuesday, 14 February 2023
Time: 10.00 am
Venue: Shrewsbury/Oswestry Room, Shirehall, Abbey Foregate,
Shrewsbury, Shropshire, SY2 6ND

You are requested to attend the above meeting. The Agenda is attached

There will be some access to the meeting room for members of the press and public, but this will be limited. If you wish to attend the meeting please email democracy@shropshire.gov.uk to check that a seat will be available for you.

Please click [here](#) to view the livestream of the meeting on the date and time stated on the agenda

The recording of the event will also be made available shortly after the meeting on the Shropshire Council Youtube Channel [Here](#)

Tim Collard
Assistant Director – Legal and Governance

Members of Audit Committee

Simon Harris (Vice Chairman)
Nigel Lumby
Brian Williams (Chairman)

Roger Evans
Rosemary Dartnall

Your Committee Officer is:

Michelle Dulson Committee Officer
Tel: 01743 257719
Email: michelle.dulson@shropshire.gov.uk

AGENDA

1 Apologies for Absence / Notification of Substitutes

2 Disclosable Pecuniary Interests

Members are reminded that they must declare their disclosable pecuniary interests and other registrable or non-registrable interests in any matter being considered at the meeting as set out in Appendix B of the Members' Code of Conduct and consider if they should leave the room prior to the item being considered. Further advice can be sought from the Monitoring Officer in advance of the meeting.

3 Minutes of the previous meetings held on the 24 November 2022 (Pages 1 - 12)

The Minutes of the meeting held on the 24 November 2022 are attached for confirmation.

Contact Michelle Dulson (01743) 257719

4 Public Questions

To receive any questions from the public, notice of which has been given in accordance with Procedure Rule 14. The deadline for this meeting is 5pm on Wednesday 8 February 2023.

5 Member Questions

To receive any questions of which Members of the Council have given notice. The deadline for this meeting is 5pm on Wednesday 8 February 2023.

6 First line assurance: Purchase Ledger control improvements (Pages 13 - 18)

The report of the Assistant Director, Finance and Technology is attached.

Contact: Ben Jay (01743) 250691

7 First line assurance: Much Wenlock Leisure Centre control improvements (Pages 19 - 36)

The report of the Head of Culture, Leisure and Tourism is attached.

Contact: Clare Featherstone (01743) 255067

8 Second line assurance: Strategic Risk Update

The report of the Risk and Business Continuity Manager is to follow.

Contact: Jane Cooper (01743) 252851

9 Second line assurance: Treasury Strategy 2023/24

The report of the Executive Director of Resources (Section 151 Officer) is to follow.

Contact: James Walton (01743) 258915

10 Second line assurance: Annual review of Counter Fraud, Bribery and Anti-Corruption Strategy and activities, including an update on the National Fraud Initiative (Pages 37 - 70)

The report of the Interim Audit Service Manager is attached.

Contact: Barry Hanson 07990 086409

11 Governance Assurance: Annual review of Audit Committee Terms of Reference (Pages 71 - 80)

The report of the Executive Director of Resources (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

12 Governance Assurance: Draft Audit Committee work plan and future training requirements (Pages 81 - 106)

The report of the Interim Audit Service Manager is attached.

Contact: Katie Williams 07584 217067

13 Third line assurance: Internal Audit performance report and revised Annual Audit Plan 2022/23 (Pages 107 - 128)

The report of the Interim Audit Service Manager is attached.

Contact: Katie Williams 07584 217067

14 Third line assurance: Report of the Audit Review of Risk Management (Pages 129 - 132)

The report of the Interim Audit Service Manager is attached.

Contact: Katie Williams 07584 217067

15 Third line assurance: Internal Audit Plan 2023/24 (Pages 133 - 148)

The report of the Interim Audit Service Manager is attached.

Contact: Katie Williams 07584 217067

16 Second line assurance: Approval of the Council's Statement of Accounts

The report of the Executive Director of Resources (Section 151 Officer) is to follow.

Contact: James Walton (01743) 258915

17 Third line assurance: External Audit, Audit Findings Report 2020/21 and 2021/22

The report of the Engagement Lead is to follow.
Contact: Grant Patterson (0121) 232 5296

18 Date and Time of Next Meeting

The next meeting of the Audit Committee will be held on the 22nd June 2023 at 10.00am.

19 Exclusion of Press and Public

To RESOLVE that in accordance with the provision of Schedule 12A of the Local Government Act 1972, Section 5 of the Local Authorities (Executive Arrangements)(Meetings and Access to Information)(England) Regulations and Paragraphs 2, 3 and 7 of the Council's Access to Information Rules, the public and press be excluded during consideration of the following items.

20 Exempt minutes of the previous meeting held on the 24th November 2022 (Pages 149 - 152)

The Exempt Minutes of the meeting held on the 24 November 2022 are attached for confirmation.
Contact: Michelle Dulson 01743 257719

21 First line assurance: Payroll control improvements 2022/23 (Pages 153 - 158)

The report of the Assistant Director, Workforce and Improvement is attached.
Contact: Sam Williams (01743) 252817

22 Internal Audit: Fraud, Special Investigation and RIPA Update (Exempted by Categories 2, 3 and 7) (Pages 159 - 162)

The report of the Interim Audit Service Manager is attached.
Contact: Barry Hanson 07990 086409